MAR 2 5 ZODZ W.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Morris, et al.

Serial No.:

09/776,216

Filed:

February 2, 2001

For:

AN ESSENTIAL CONSTITUENT AND METHOD OF USE FOR MAINTAINING HAIR

COLORATION OR REVERSING HAIR DISCOLORATION

Group Art Unit:

1643

Examiner:

Unknown

Attorney Docket:

6829-60165 (800189-05) CERTIFICATE OF A MAILING/TRANSMISSIO (37 C.F.R. § 1.8(a))

I hereby certify that this correspondences, on the date shown below is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

3/25/02

MaryM. Padilla

Box Patent Application Commissioner for Patents Washington, D.C. 20231 03/28/2002 SMINASS1 00000087 130201 09776216

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# PETITION UNDER 37 C.F.R. § 1.48 TO CORRECT INVENTORSHIP

Now into the United States Patent and Trademark Office come Applicants who petition under 37 C.F.R. § 1.48 to add Shiguang Yu as an inventor to the above-identified patent application.

As required by 37 C.F.R. § 1.48, please find enclosed herewith the following:

- (1) A declaration by Shiguang Yu in accordance with 37 C.F.R. § 1.63;
- (2) A statement from Shiguang Yu stating that it was through error without deceptive intent that she was not named as an inventor in the above-identified patent application;

- (3) The \$130 fee set forth in 37 C.F.R. § 1.17 (h): the United States Patent and Trademark Office is given the authority to charge any and all required fees including the \$130 petition fee, to Deposit Account No. 13-0201; and
- (4) A written consent from the owner/assignee, The Regents of the University of California, of the subject above-identified patent application.

Respectfully submitted,

John W. Carpente

Reg. No. 26,447

JWC:mmp



### **DECLARATION FOR PATENT APPLICATION**

RECEIVED

APR 0 1 2002
TECH CENTER 1600/2900

As below named inventors, we hereby declare that:

the specification of which (check one)

Our residence, post office address and citizenship are as stated below next to our name.

We believe we are an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

# AN ESSENTIAL CONSTITUENT AND METHOD OF USE FOR MAINTAINING HAIR COLORATION OR REVERSING HAIR DISCOLORATION

( )	is attached hereto.
(X)	was filed by an authorized person on my behalf on
` '	February 2, 2001 as Application Serial No. 09/776,216
	and was amended onn/a (if applicable)
	• • • • • • • • • • • • • • • • • • • •

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

We hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and so identified, and we have also identified below any foreign application for patent or inventor's certificate on this invention filed by us or our legal representatives or assigns and having a filing date before that of the application on which priority is claimed.

Priority
Claimed

Number Country Day/Month/Year Filed (Yes or No)

NONE

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.Filing DateStatus09/501,548February 9, 2000Pending

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

We hereby appoint the following attorneys and patent agent, with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith and request that all correspondence and telephone calls in respect to this application be directed to COUDERT BROTHERS, 4 Embarcadero Center, Suite 3300, San Francisco, CA 94111, Telephone No. (415) 986-1300:

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J. Bruce McCubbrey	20,687
Donald L. Bartels	28,282
David Schnapf	31,566
Robert D. Becker	37,778
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James W. Drapinski	46,242
John W. Carpenter	26,447
Martin F. Majestic	25,695
Keiichi Nishimura	29,093
Daniel D. Tagliaferri	43,178
Patent Agents	
Hal R. Yeager	35,419
Tom Brody	46,433
Stephen R. Vosen	45,186

Full name of first joint inventor:	James G. Morris	
Inventor's signature:		
Date:		
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Citizenship:	United States	

Full name of second joint inventor:	Quinton R. Rogers
Inventor's signature:	
Date:	
Residence and Post Office Address:	1918 Alpine Place Davis, CA 95616
Citizenship:	United States
Full name of third joint inventor:	Shiguang Yu
Inventor's signature:	Shigueni
Date:	May 1st, 2001
Residence and Post Office Address:	3941 S.W. Gamwell Road Topeka, KS 66610
Citizenship:	People's Republic of China
Address for Correspondence:	COUDERT BROTHERS 4 Embarcadero Center, Suite 3300 San Francisco, CA 94111



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(800189-05)

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Assistant Commissioner for Patents Washington, D.C. 20231

#### STATEMENT FROM SHIGUANG YU

I. Shiguang Yu, state that it was through error without deceptive intent that

I was not named as an inventor in the above-identified patent application.



## <u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

Applicants:

Morris, et al.

Serial No.:

09/776,216

Filed:

February 2, 2001

For:

AN ESSENTIAL

CONSTITUENT AND METHOD OF USE FOR MAINTAINING HAIR COLORATION OR REVERSING HAIR

**DISCOLORATION** 

Group Art Unit:

1643

Examiner:

Unknown

Attorney Docket:

6829-60165 (800189-05) CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.3(2))

I hereby certify that this correspondence is, on the date shown below is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

3/25/02

arv M. Padilla

Assistant Commissioner for Patents Washington, D.C. 20231

# CONSENT OF THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

I, J. Lawrence Fox, being empowered to act on behalf of The Regents of the University of California, as Director, Technology Transfer Center, hereby states that The Regents of the University of California consent to adding Shiguang Yu as an inventor to the invention in the above-identified patent application.

Data

Lawrence Fox

Director, Technology Transfer Center